## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Neubauer et al.

Docket No: SCHO0068

Serial No.: Not Assigned

Art Unit: Not Assigned

Filed: Herewith

**Examiner:** Not Assigned

Title:

METHOD AND APPARATUS FOR INTRODUCING INFORMATION INTO A

DATA STREAM AND METHOD AND APPARATUS FOR ENCODING AN

**AUDIO SIGNAL** 

## INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, DC 20231

Sir:

This Information Disclosure Statement is submitted:

(X)	(within t	37 CFR 1.97(b), or hree months of filing national application; or date of entry of international application; or before date of first office action on the merits; whichever occurs last)
()	under ( ) ( )	37 CFR 1.97(c) together with either a: Certification under 37 CFR 1.97(e), or a \$220.00 fee under 37 CFR 1.17(p), or (After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
( )	under () ()	37 CFR 1.97(d) together with a: Certification under 37 CFR 1.97(e), and a \$220.00 fee under 37 CFR 1.17(d)(2)(ii), and a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1) (Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)
	ayment	commissioner is authorized to charge any additional fees or credit any to Deposit Account No. 07-1445 (Order No). A copy of this osed for accounting purposes.
/ <b>/ /</b> /	A 11	

(X) Applicant(s) submit herewith Form PTO 1449 -- Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.25.

(X) A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 156(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

Michael A. Glenn Reg. No. 30,176

Customer No. 22862

Atty Docket No. SCHO0068	Serial No.: Unassigned
Applicant: Neubauer et al.	
Filing Date:	Group Unassigned
	SCHO0068 Applicant: Neubauer et al.

## **U.S. Patent Documents**

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub- class	Filing Date
	Α	5,848,155	12/8/98	Cox	380	4	9/4/96
	В	5,930,369	7/27/99	Cox et al.	380	54	9/10/97
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Foreign Patent or Published Foreign Patent Application

Examiner		Document	Publication	Country or		Sub-	Trans	lation
Initial	No.	No.	Date	Patent Office	Class	class	Yes	No
	С	540 330	5/5/93	EPO	H04B	1/66	X	
	D	41 02 324	1/26/91	DE	H03M	7/30		X
	E	44 30 864	8/31/94	DE	H04B	1/66		X
	F	WO 98/27504	6/25/98	PCT	G06K		Х	
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## **Other Documents**

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
·		
		, and the state of
Examiner		Date Considered

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.